

Sector # 3  
PATENT

Customer Number 22,852  
Attorney Docket No. 4121.0165

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ronda M. Allen et al.

Application No.: 09/648,641

Group Art Unit: 1611

Filed: August 25, 2000

Examiner: To Be Assigned

For: METHODS FOR PURIFYING  
DNA POLYMERASES

TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, DC 20231

Attention: **BOX MISSING PARTS**

Sir:

We enclose a Response To Notice To File Missing Parts Of Nonprovisional Application mailed October 25, 2000, Request For Corrected Filing Receipt, Petition for Extension of Time, Recordation of Assignment, Information Disclosure Statement Under 37 C.F.R. § 1.97(b), Form PTO 1449, and copies of 20 references. A check for \$780.00 is enclosed for the following small entity fees:

- 1) \$355.00, basic filing fee;
- 2) \$90.00, for 10 claims over 20;
- 3) \$135.00 for multiple dependent claims;
- 4) \$65.00, required surcharge;
- 5) \$55.00, one month extension of time fee; and
- 6) \$80.00, recording fee for Assignment.

Please grant any extensions of time required to enter this response and charge any additional required fees to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW  
& DUNNER, L.L.P.

Dated: December 29, 2000

By: John W. Burns  
John W. Burns  
Reg. No. 43,520



PATENT  
Customer Number 22,852  
Attorney Docket No. 4121.0165

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Ronda M. Allen et al. )  
Serial No.: 09/648,641 ) Group Art Unit: 1641  
Filed: August 25, 2000 ) Examiner: To Be Assigned  
For: METHODS FOR PURIFYING )  
DNA POLYMERASES )

Assistant Commissioner for Patents  
Washington, DC 20231

Attention: **BOX MISSING PARTS**

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

In response to the Notice to File Missing Parts of Nonprovisional Application (Notice) of October 25, 2000, Applicants submit \$355.00 for the statutory basic small entity filing fee, \$90.00 for the extra claim fee for claims over 20, \$135.00 for multiple dependent claims, \$55.00 for one month extension of time, \$65.00 for the required surcharge, signed Declaration/Power of Attorney, and a copy of the Notice. Applicants also submit a signed Verified Statement (Declaration) Claiming Small Entity Status (37 CFR 1.9(f) and 1.27(c)).

Please associate the enclosed Declaration with the above identified application.

Please grant any extensions of time required to enter this response and charge any additional required fees to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: December 29, 2000

By: John W. Burns  
John W. Burns  
Reg. No. 43,520



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/648,641	08/25/2000	Ronda M. Allen	04121.0165-00000

Finnegan Henderson Farabow Garrett & Dunner LLP  
1300 I Street N W  
Washington, DC 20005



## FORMALITIES LETTER



\*OC000000005502586\*

Date Mailed: 10/25/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$440.
  - \$180 for 10 total claims over 20.
  - \$260 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1260.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/08/2001 SDUONG 00000119 09648641  
01 FC:201 355.00 00 00  
02 FC:203 90.00 00 00  
03 FC:204 135.00 00 00  
04 FC:205 65.00 00 00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ronda M. Allen et al.

Serial No.: 09/648,641

Filed: August 25, 2000

For: METHODS FOR PURIFYING  
DNA POLYMERASES



) Group Art Unit: 1641

) Examiner: To Be Assigned

Assistant Commissioner  
for Patents  
Washington, DC 20231

OFFICE OF INITIAL PATENT EXAMINATION

Sir:

**REQUEST FOR CORRECTED FILING RECEIPT**

We are forwarding herewith a copy of a Filing Receipt for the above-identified patent application. The correct residence for the second inventor and the words "small entity status" are indicated in ink on the attached copy.

It is respectfully requested that a corrected Filing Receipt be issued as soon as possible.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: December 29, 2000

By: John W. Burns  
John W. Burns  
Reg. No. 43,520



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/648,641	08/25/2000	1641	0	04121.0165-00000	4	26	3

Finnegan Henderson Farabow Garrett & Dunner LLP  
1300 I Street N W  
Washington, DC 20005



## FILING RECEIPT



\*OC000000005502585\*

Date Mailed: 10/25/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

Ronda M. Allen, Poway, CA ;  
Daniel T. McMullan, ~~Residence Not Provided~~, *San Diego, CA*  
Rebecca L. Mullinax, San Diego, CA ;

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/151,805 08/31/1999

## Foreign Applications

If Required, Foreign Filing License Granted 10/24/2000

*SMALL ENTITY*

## Title

Methods for purifying DNA polymerases

## Preliminary Class

435

Data entry by : HORNE, CHERYL

Team : OIPE

Date: 10/25/2000



NOV 07 2000

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

